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U.S. Express Mail No.: ET786658697US  
Attorney Docket No.: YP-001.C1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PATENT APPLICATION TRANSMITTAL LETTER**

In Re Patent Application Of:  
Young Park

Art Group: 1732  
(Parent Application)

Application No.: Not Yet Assigned

Examiner: G. Cantelmo  
(Parent Application)

Filing Date: November 7, 2001

Title: HIGH THROUGHPUT THIN FILM  
DEPOSITION AND SUBSTRATE  
HANDLING METHOD AND APPARATUS  
FOR OPTICAL DISK PROCESSING

Attorney Docket No.:  
YP-001.C1

November 7, 2001

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.10**

**"U.S. EXPRESS MAIL" MAILING LABEL NUMBER : ET786658697US**

**DATE OF DEPOSIT: November 7, 2001**

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 having the MAILING LABEL NUMBER referenced above, addressed to the Commissioner for Patents, Box Patent Application, Washington, DC 20231 on the DATE OF DEPOSIT referenced above.

Shirley L. Church (Signature)

By: Shirley L. Church, Reg. No. 31,858 (Type Name)

Signature Date: November 7, 2001

**CONTINUATION APPLICATION TRANSMITTAL LETTER**

**BOX: Patent Application**  
Commissioner for Patents  
Washington, DC 20231

Sir:

Applicant hereby files a [X] continuation [ ] divisional [ ] continuation-in-part application under 37 CFR § 1.53(b) of the prior complete application identified below.

#### IDENTIFICATION OF PRIOR COMPLETE APPLICATION

Title: As originally filed - HIGH THROUGHPUT THIN FILM DEPOSITION AND  
SUBSTRATE HANDLING METHOD AND APPARATUS  
FOR OPTICAL DISK PROCESSING

Application Number: 09/542,799

Filing Date: April 4, 2000

Inventors: Young Park

Enclosed is a copy of the original, first, prior complete application, including a copy of the oath or declaration showing the signature of the inventors. The enclosed papers are a true copy of the prior complete application as filed, including the specification, claims, drawings and signed oath or declaration, and any amendments referred to in the oath or declaration filed to complete the prior application.

#### INVENTORS

1. ☒ The inventor of the present application is the same as in the prior complete application.
2. ☐ The inventor(s) of the present application have been changed to include the following named inventor(s): \_\_\_\_\_
  - a. ☐ It is requested that the following persons be deleted as inventor(s) in the present application: \_\_\_\_\_
  - b. ☐ The present application is a continuation-in-part of the prior complete application, and an oath or declaration as required under 37 CFR § 1.63 accompanies this paper.

#### AMENDMENTS

3. ☐ Enter the amendment previously filed under 37 CFR § 1.116, but unentered, in the prior application.
4. ☒ A preliminary amendment is enclosed.

5. ☒ Amend the specification by inserting before the first line the sentence: -- This application is a continuation application of Application Serial No. 09/542,799, filed April 4, 2000, currently pending, which claims priority under U.S. Provisional Application No. 60/144,602, filed July 19, 1999. --
6. ☒ The title in the present application is as follows: HIGH THROUGHPUT THIN FILM DEPOSITION AND SUBSTRATE HANDLING METHOD AND APPARATUS FOR OPTICAL DISK PROCESSING.

**INTERNATIONAL PRIORITY**

7. ☐ Priority of Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_, in \_\_\_\_\_(country) is claimed under 35 USC § 119.
8. ☐ The certified copy of the priority application:  
☐ is enclosed;  
☐ was filed on \_\_\_\_\_ in prior Application Serial No. \_\_\_\_\_; filed on \_\_\_\_\_;  
☐ has not yet been filed.

**PRIORITY UNDER 35 USC § 120**

9. ☒ Applicants hereby claim priority under 35 USC § 120, wherein the priority applications are Serial Nos. 09/542,799 and 60/144,602.

**ATTORNEY OR AGENT OF RECORD**

10. ☒ The power of attorney in the prior application is to: Shirley L. Church (Reg. No. 31,858) and Philip J. McCabe (Reg. No. 27,658).
- a. ☒ The power appears in the original papers in the prior application.  
b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
11. ☒ A copy of the Declaration & Power of Attorney is enclosed.
12. ☐ A new Power of Attorney is enclosed.

**OTHER**

13. ☒ Also enclosed: Copy of Statement Claiming Small Entity Status under 37 CFR § 1.27.
14. ☒ Cancel in this application Claims 2, 3, 6, 18, and 24 of the prior application before calculating the filing fee. (At least one independent claim must be retained for filing purposes.)
15. ☐ Cancel in this application all claims of the prior application and substitute the claims contained in the attached Preliminary Amendment prior to calculating the filing fee.
16. ☐ Add the additional claims in the attached Preliminary Amendment prior to calculating the filing fee.

**FEES DUE**

17.

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$ 370.00
Total Claims	21	MINUS 20 =	1	x \$9 =	9.00
Independent Claims	5	MINUS 3 =	2	x \$42 =	00.00
If multiple dependent claims are presented, add \$270.00					00.00
Recordation of Assignment document					00.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$379.00</b>

18. ☐ A Petition for Extension of Time to respond to the outstanding official action in the prior application, up to and including the filing date of the present application, is enclosed, the fee for which is \$\_\_\_\_\_.
19. ☒ New drawings are enclosed (☒ formal ☐ informal)

**PAYMENT OF FEES**

20. The full fee due in connection with this communication is \$ 379.00, and is provided as follows:

- a. ☒ Check No. 5243 in the amount of \$ 379.00 is enclosed.
- b. ☐ The Commissioner is hereby authorized to charge the filing fee of \$ \_\_\_\_\_ to Deposit Account No. 50-1512 of Shirley L. Church, Sunnyvale, California.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be due under 37 CFR § 1.16 and § 1.17, which are required by this paper, and to credit any overpayment, to Deposit Account No. 50-1512 of Shirley L. Church, Sunnyvale, California.

This transmittal letter is submitted in duplicate.

**CORRESPONDENCE ADDRESS**

Address all future communications to:

Shirley L. Church, Esq.  
P.O. Box 61929  
Sunnyvale, CA 94088

Respectfully submitted,

Date: November 7, 2001

By: Shirley L. Church  
Shirley L. Church, Esq.  
Registration No.: 31,858